

# DECLARATION FOR PATENT APPLICATION SOLE OR JOINT

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention titled:

## STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER

the specification of which was filed on \_\_\_\_\_ as Application No. \_\_\_\_\_

I HEREBY STATE THAT I HAVE REVIEWED AND UNDERSTAND THE CONTENTS OF THE ABOVE-IDENTIFIED SPECIFICATION, INCLUDING THE CLAIMS.

I ACKNOWLEDGE THE DUTY TO DISCLOSE INFORMATION THAT IS MATERIAL TO THE PATENTABILITY OF THIS APPLICATION IN ACCORDANCE WITH TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code, §119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

### Prior Foreign Application(s)

			Priority Claimed	
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No
_____ (Number)	_____ (Country)	_____ (Day/Month/Year Filed)	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, §120 of any United States applications listed below and, INsofar AS THE SUBJECT MATTER OF EACH OF THE CLAIMS OF THIS APPLICATION IS NOT DISCLOSED IN THE PRIOR UNITED STATES APPLICATION IN THE MANNER PROVIDED BY THE FIRST PARAGRAPH OF TITLE 35, UNITED STATES CODE, §112, I ACKNOWLEDGE THE DUTY TO DISCLOSE MATERIAL INFORMATION AS DEFINED IN TITLE 37, CODE OF FEDERAL REGULATIONS, §1.56(a) WHICH OCCURRED BETWEEN THE FILING DATE OF THE PRIOR APPLICATION AND THE NATIONAL OR PCT INTERNATIONAL FILING DATE OF THIS APPLICATION:

_____ (Application Serial Number)	_____ (Filing Date)	_____ (STATUS: Patented, Pending, Abandoned)
_____ (Application Serial Number)	_____ (Filing Date)	_____ (STATUS: Patented, Pending, Abandoned)

**POWER OF ATTORNEY:** As a named inventor, I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and transact all business in the Patent and Trademark Office connected herewith (List name and registration number).

(LIST SENIOR PATENT COUNSEL AND ATTORNEY HANDLING CASE WITH PATENT OFFICE REGISTRATION NUMBERS.)

<b>Paul L. Marshall</b> _____ Name	<b>John Donofrio</b> _____ Name	<b>Roger Criss</b> _____ Name
<b>31,178</b> _____ Registration Number	<b>32,339</b> _____ Registration Number	<b>25,570</b> _____ Registration Number

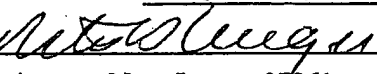
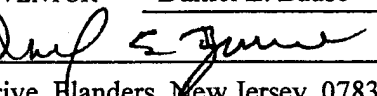
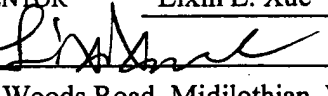
SEND CORRESPONDENCE TO:

Honeywell International Inc.  
Law Department, Patent Services  
101 Columbia Road  
Morristown, New Jersey 07962

DIRECT TELEPHONE CALLS TO:

248 362-7053

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF SOLE OR FIRST INVENTOR Ronald Paul RohrbachINVENTOR'S SIGNATURE Date 3/30/2000RESIDENCE 430 Cherryville Road, Flemington, New Jersey 08822CITIZENSHIP USPOST OFFICE ADDRESS Honeywell International Inc.101 Columbia Road, Morristown, NJ 07962FULL NAME OF SECOND JOINT INVENTOR Gordon William JonesINVENTOR'S SIGNATURE Date 4/28/00RESIDENCE 2303 Gibley Road, Toledo, Ohio 43617CITIZENSHIP USPOST OFFICE ADDRESS Honeywell International Inc.2389A CEDAR PARK BLVD.  
PERRYSBURG, OH 435511600 North Union Street, PO Box 880, Eustoria, OH 44830.FULL NAME OF THIRD JOINT INVENTOR Peter D. UngerINVENTOR'S SIGNATURE Date 3/30/00RESIDENCE 36 Terry Dr., Morristown, New Jersey 07961CITIZENSHIP USPOST OFFICE ADDRESS Honeywell International Inc.101 Columbia Road, Morristown, NJ 07962FULL NAME OF FOURTH JOINT INVENTOR Daniel E. BauseINVENTOR'S SIGNATURE Date 3/30/2000RESIDENCE 150 Cloverhill Drive, Hlanders, New Jersey 07836CITIZENSHIP USPOST OFFICE ADDRESS Honeywell International Inc.101 Columbia Road, PO Box 1057, Morristown, NJ 07962-1057FULL NAME OF FIFTH JOINT INVENTOR Lixin L. XueINVENTOR'S SIGNATURE Date 03/23/2000RESIDENCE 14307 Hunt Gate Woods Road, Midlothian, VA 23112CITIZENSHIP P.R. of China

POST OFFICE ADDRESS \_\_\_\_\_

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

FULL NAME OF SIXTH JOINT INVENTOR Greg KemenahINVENTOR'S SIGNATURE *Greg Kemenah*

Date

5/3/00RESIDENCE 6955 Dorr Street, Unit 78, Toledo, Ohio 43615CITIZENSHIP USPOST OFFICE ADDRESS Honeywell International Inc., Fram/Autolite28399 Cedar Park Blvd., Perrysburg, OH 43551

FULL NAME OF SEVENTH JOINT INVENTOR \_\_\_\_\_

INVENTOR'S SIGNATURE \_\_\_\_\_

Date \_\_\_\_\_

RESIDENCE \_\_\_\_\_

CITIZENSHIP \_\_\_\_\_

POST OFFICE ADDRESS \_\_\_\_\_

FULL NAME OF EIGHTH JOINT INVENTOR \_\_\_\_\_

INVENTOR'S SIGNATURE \_\_\_\_\_

Date \_\_\_\_\_

RESIDENCE \_\_\_\_\_

CITIZENSHIP \_\_\_\_\_

POST OFFICE ADDRESS \_\_\_\_\_

FULL NAME OF NINTH JOINT INVENTOR \_\_\_\_\_

INVENTOR'S SIGNATURE \_\_\_\_\_

Date \_\_\_\_\_

RESIDENCE \_\_\_\_\_

CITIZENSHIP \_\_\_\_\_

POST OFFICE ADDRESS \_\_\_\_\_

FULL NAME OF TENTH JOINT INVENTOR \_\_\_\_\_

INVENTOR'S SIGNATURE \_\_\_\_\_

Date \_\_\_\_\_

RESIDENCE \_\_\_\_\_

CITIZENSHIP \_\_\_\_\_

POST OFFICE ADDRESS \_\_\_\_\_

12-05-2000

11-16-00

FORM PTO-1595  
(Rev. 6-93)  
OMB No. 0651-0011 (exp. 4/94)



101538964

COVER SHEET  
-Y

U.S. DEPARTMENT OF COMMERCE  
Patent and Trademark Office

780-99-014

To the Honorable Commissioner of Patents and Trademarks: Please record the attached original documents or copy thereof.

## 1. Name of conveying parties:

R. Rohrbach, G. Jones, P. Unger, D. Bause, L. Xue  
and G. Kemenah

Additional name(s) & party(ies) attached?

☐ Yes

☒ No

## 2. Name and address of receiving party(ies):

Name: Honeywell International Inc.

Internal Address:

## 3. Nature of conveyance:

☒

Assignment

☐

Merger

☐

Security Agreement

☐

Change of Name

☐

Other

Execution Date: 3-23-00, 3-30-00, 5-2-00 & 5-3-00

Street Address:

101 Columbia Road, P.O. Box 2245

City: Morristown State: NJ Zip: 07962

Additional name(s) & address(es)  
attached?

☐ Yes

☒ No

## 4. Application number(s) or patent number(s):

If this document is being filed together with a new application, the execution date of the application is: 3-23-00, 3-30-00, 5-2-00 & 5-3-00

Patent Application No.(s) 09/566,034

B. Patent No.(s)

Additional numbers attached?

☐ Yes

☒ No

## 5. Name and address of party to whom correspondence concerning document should be mailed:

Name: Paul L. Marshall

Internal Address:

## 6. Total number of applications and patents involved:

1

7. Total fee (37 CFR 3.41) .....\$ 40.00

☐

Enclosed

☒

Authorized to be charged to deposit account

## 8. Deposit account number:

01-1125

Street Address: Honeywell International Inc.

1746 Thunderbird Street

City: Troy State: MI Zip: 48084

DO NOT USE THIS SPACE

## 9. Statement and signature.

To the best of my knowledge and belief, the foregoing information is true and correct and any attached copy is a true copy of the original document.

Paul L. Marshall

Name of Person Signing

Signature

November 13, 2000

Date

Total number of pages including cover sheet, attachments, and document: 5

Mail documents to be recorded with required cover sheet information to:  
Commissioner of Patents and Trademarks, Box Assignments

12/05/2000 AMHED1 00000063 011125 09566034

01 FC:581 40.00 CH

# ASSIGNMENT

Assignment before issue of Letters Patent

Honeywell 780-99-014  
File \_\_\_\_\_

WHEREAS,

(hereinafter "Assignor") have invented certain new and useful improvements in

## STAGED OIL FILTER INCORPORATING PELLETIZED BASIC CONDITIONER

(hereinafter "invention") for which Assignor is making or has made application for LETTERS PATENT OF

THE UNITED STATES, which application has been duly executed by Assignor on


the day(s) and date(s) of these presents, including any United States provisional patent application(s) identified as follows,

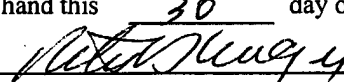
of which the subject application claims priority: Serial Number \_\_\_\_\_, filed \_\_\_\_\_

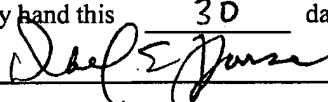
AND WHEREAS, Honeywell International Inc., a corporation organized and existing under the laws of the State of Delaware, U.S.A., having a place of business at 101 Columbia Road, Morris Township, Morris County, New Jersey, U.S.A., hereinafter called the Assignee, is desirous of acquiring the entire right, title and interest in and to said invention within the United States of America and its territorial possessions and all foreign countries and any United States or foreign LETTERS PATENT that may be granted therefor.

NOW, THIS INDENTURE WITNESSETH, that for good and valuable considerations, the receipt whereof is hereby acknowledged, Assignor has assigned, sold and transferred, and does hereby assign, sell and transfer to the said Assignee the entire right, title and interest in and to the said invention, within the United States of America and its territorial possessions and all foreign countries and in and to any LETTERS PATENT of the United States and foreign countries, including utility models, inventor's certificates and like government grants, and all divisions, reissues, continuations and extensions thereof that may be granted therefor, and the right to apply for LETTERS PATENT in foreign countries with full benefit of such priorities as may now or hereafter be granted to Assignor by local laws or by treaty, including any international convention, for the protection of industrial property, together with the right to extend the protection of said U.S. LETTERS PATENT to the various territorial possessions now owned or which may be hereafter acquired by the United States of America, all said rights to be held and enjoyed by the Assignee for its own use and benefit, and for the use and benefit of its successors or assigns, to the full end of the term for which said LETTERS PATENT may be granted, as fully and entirely as the same would have been held and enjoyed by Assignor if this assignment and sale had not been made. And Assignor does hereby request and authorize the Commissioner of Patents and Trademarks, U.S.A., to issue said U.S. LETTERS PATENT, when granted, in accordance with this assignment.

Assignor further covenants and agrees with the Assignee that Assignor has a full and unencumbered title to the invention hereby assigned, which title Assignor warrants unto the Assignee, and Assignor further agrees that Assignor will, without demanding any further consideration therefor, at the request but at the expense of the Assignee, do all lawful and just acts, including the execution and acknowledgment of instruments, that may be or become necessary for obtaining, sustaining, extending, reissuing or reexamining United States and foreign LETTERS PATENT or the like for the said invention, and for maintaining and perfecting the Assignee's right to said invention and LETTERS PATENT particularly in cases of interference, conflict, opposition and litigation.

IN TESTIMONY WHEREOF, I have hereunto set my hand this 30<sup>th</sup> day of March, 19 2000  


IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of Mar, 19 2000  


IN TESTIMONY WHEREOF, I have hereunto set my hand this 30 day of March, 19 2000  


IN TESTIMONY WHEREOF, I have hereunto set my hand this 2<sup>nd</sup> day of May, 19 2000

IN TESTIMONY WHEREOF, I have hereunto set my hand this 23<sup>rd</sup> day of March, 19 2000

IN TESTIMONY WHEREOF, I have hereunto set my hand this 3<sup>rd</sup> day of May, 19 2000

STATE OF New Jersey )  
COUNTY OF Essex ) SS.:

LYNN GRIFFIN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 8/30/2004

On this 30 day of March, 19 2000 before me personally appeared

Ronald P. Rohrbach

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ Acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Lynn Griffin*

Notary Public

My commission expires:

STATE OF Ohio )  
COUNTY OF Wood ) SS.:

On this 2nd day of May, 19 2000 before me personally appeared

Gordon W. Jones

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ Acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Lisa K. Linear*

LISA K. LINEAR  
Notary Public — State of Ohio  
My Commission Expires 11-14-04  
Notary Public

My commission expires: 11/14/04

STATE OF New Jersey )  
COUNTY OF Essex ) SS.:

LYNN GRIFFIN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 8/30/2004

On this 30 day of March, 19 2000 before me personally appeared

Peter D. Unger

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ Acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Lynn Griffin*

Notary Public

My commission expires:

STATE OF New Jersey )  
COUNTY OF Essex ) SS.:

LYNN GRIFFIN  
NOTARY PUBLIC OF NEW JERSEY  
Commission Expires 8/30/2004

On this 30 day of March, 19 2000 before me personally appeared

Daniel E. Bause

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

*Lynn Griffin*

Notary Public

My commission expires:

STATE OF Virginia )  
 ) ss.:  
COUNTY OF chesterfield )

On this 23rd day of March, 19 2000 before me personally appeared

**Lixin L. Xue**

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

Margaret J. Gilbert  
Notary Public

My commission expires: May 31, 2000

STATE OF Ohio )  
 ) ss.:  
COUNTY OF Wood )

On this 3rd day of May, 19 2000 before me personally appeared

**Greg Kemenah**

to me known and known to me to be the individual \_\_\_\_\_ described in and who executed the foregoing instrument,  
and \_\_\_\_\_ he \_\_\_\_\_ acknowledged to me that \_\_\_\_\_ he \_\_\_\_\_ executed the same.

[SEAL]

Lisa K. Linear  
LISA K. LINEAR  
Notary Public — State of Ohio  
My Commission Expires 11-14-04  
Notary Public

My commission expires: 11/14/04